Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-OCT-20** 

of M/s. Venus Securities (Private) Ltd.

Submission Date 13-NOV-2020 17:04:29

1.2       Intangible         1.3       Investmen         1.4       Investmen         1.4       Investmen         1.4       Investmen         ii. 75% of the       ii. 7.5% of the         ii. 7.5% of the       iii. 10% of the         iii. 10% of the       iii. 10% of the         iii. 10% of the       iii. 10% of the         iii. 15% of the       iii. 15% of the         1.5       Investmen         i. If unlisted       iii. Subscrip         provided the       iv. 100% Hail         that are in       Provided the         infunction       Provided the         infunction       Provided the         infunction       Investmen         1.6       Investmen         1.7       Investmen         1.10       Deposit wi         1.11       Other dep         1.12       Accrued in         etc.(Nii)       10	it in Govt. Securities ent in Debt. Securities nan: be balance sheet value in the case of tenure upto 1 year. he balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years.	Value in Pak Rupee	Hair Cut/ Adjustments 8	et Adjusted Value
1.1       Propert         1.2       Intangible         1.3       Investmen         1.4       Investmen         1.4       Investmen         1.4       Investmen         1.5% of the       ii. 7.5% of the         ii. 10% of the       ii. 7.5% of the         iii. 10% of the       iii. 10% of the         iii. 10% of the       iii. 10% of the         iii. 12.5% of       iii. 15% of the         iii. 15% of the       iii. 16% of the         iii. 15% of the       iii. 16 unlisted 1         respective       iii. If unlisted 1         provided the       Pledged ir         provided the       Pledged ir         novestmen       i. If listed 2         whichever       ii. If unlistee         1.8       Statutory cors are of the         1.10       Deposit with         1.11       Other dep         1.12       Accrued ir         etc.(Nii)       100% in res         1.13       Dividends         1.14       Amounts r	Assets It in Govt. Securities It in Govt. Securities Int in Debt. Securities han: be balance sheet value in the case of tenure upto 1 year. he balance sheet value, in the case of tenure of more than 3 years. It he balance sheet value, in the case of tenure of more than 3 years. It he balance sheet value, in the case of tenure upto 1 year. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It is explicitly Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. It is under the value of Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) to in subsidiaries ent in associated companies/undertaking	481,869 3,800,000	481,869 3,800,000	
1.1       Propert         1.2       Intangible         1.3       Investmen         1.4       Investmen         1.4       Investmen         1.4       Investmen         1.5% of the       ii. 17.5% of the         ii. 10% of the       ii. 10% of the         ii. 10% of the       iii. 10% of the         ii. 10% of the       iii. 12.5% of         iii. 15% of the       iii. 12.5% of         iii. 15% of the       iii. 11.5% of the         iii. 10% of the       iii. 12.5% of         iii. 11.5       Investme         ii. If unlisted 1       respective         iii. If unlisted 1       Provided the         Provided the       Pledged in         Provided the       Pledged in         1.6       Investmen         1.7       Investmen         1.7       Investmen         1.7       Investmen         1.8       Statutory cordination or schedule 1         1.9       Margin deg         1.10       Deposit with 1.11         Other dep       1.12         Accrued in etc.(Nii)       100% in ress         1.13       Dividends         1.14       A	Assets It in Govt. Securities It in Govt. Securities Int in Debt. Securities han: be balance sheet value in the case of tenure upto 1 year. he balance sheet value, in the case of tenure of more than 3 years. It he balance sheet value, in the case of tenure of more than 3 years. It he balance sheet value, in the case of tenure upto 1 year. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It is explicitly Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. It is under the value of Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) to in subsidiaries ent in associated companies/undertaking	3,800,000	3,800,000	7,853,881
1.2       Intangible         1.3       Investmen         1.4       Investmen         1.4       Investmen         1.4       Investmen         1.5% of the       ii. 7.5% of the         iii. 10% of the       iii. 10% of the         iii. 10% of the       iii. 10% of the         iii. 10% of the       iii. 10% of the         iii. 10% of the       iii. 12.5% of         iii. 15% of the       iii. 12.5% of         iii. 15% of the       iii. 15% of the         iii. 15% of the       iii. 10% of the         iii. 10% of the       iiii. 10% of the         iii. 10% of the       iii. 11% of the         iii. 10% of the       iii. 10% of the         iii. 10% of the       iii.10% of the         iii. 10% of the       iii.10% of the         iii. 10% of the       iii.11% of the         1.10       Deposit wi         1.11       Other dep         1.12       Accrued ir         etc.(Nii)       10% in res         1.13       Dividends         1.14	Assets It in Govt. Securities It in Govt. Securities Int in Debt. Securities han: be balance sheet value in the case of tenure upto 1 year. he balance sheet value, in the case of tenure of more than 3 years. It he balance sheet value, in the case of tenure of more than 3 years. It he balance sheet value, in the case of tenure upto 1 year. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It be balance sheet value, in the case of tenure of more than 3 years. It is explicitly Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. It is under the value of Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) to in subsidiaries ent in associated companies/undertaking	3,800,000	3,800,000	7,853,881
1.3       Investmen         1.4       Investmen         If listed tf       i. 5% of the         ii. 7.5% of the       iii. 10% of the         iii. 10% of the       iii. 10% of the         iii. 11.5       Investme         i. If listed 1       respective         iii. If unliste       iii.Subscrip         provided the       iii.100% Hait         that are in       Provided the         ii. 100% Hait       that are in         that are in       Provided the         ii. If unliste       ii. If unlisted 2         whichevee       ii. If unlisted 2         uhichevee       ii. If unlisted 1.8         Statutory core       or any othe         1.10       Deposit wi         1.11       Other dep         1.12       Accrued in         etc.(Nii)       100% in res         1.13       Dividends         1.14       Amounts r         Amount p       shall not b         shall not b       shal	t in Govt. Securities ent in Debt. Securities nan: e balance sheet value in the case of tenure upto 1 year. he balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. d than: we balance sheet value, in the case of tenure upto 1 year. the balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of the securities Exchange for securities whichever is higher. d, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such case			7,853,881
1.4       Investme if listed tf         i.5% of the ii.7.5% of the iii.7.5% of the iii.10% of the iii.10% of the iii.10% of the iii.12.5% of iii.15% of ti         1.5       Investme ii.16 listed 1         respective iii.16 unliste iii.100% Hai that are in Provided tf         1.6       Investme iii.16 unliste iii.16 unliste iii.16 unliste iii.16 unvestme i.16 linvestme ii.16 unvestme ii.16 unvestme ii.16 unvestme ii.16 unvestme ii.16 unvestme ii.16 unvestme ii.110 Deposit wi 1.10         1.8       Statutory co or any oth under the information of schedule 1         1.9       Margin deg         1.10       Deposit wi any oth under the shall not b         1.15       i.Nort Terr	ent in Debt. Securities han: balance sheet value in the case of tenure upto 1 year. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. d than: he balance sheet value, in the case of tenure upto 1 year. the balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. Int in Equity Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. Ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) tt in subsidiaries ent in associated companies/undertaking	9,239,860	1,385,979	7,853,881
If listed th         i. 5% of the         ii. 7.5% of the         iii. 10% of the         iii. 12.5% of         iiii. 15% of the         iii. 10% of the         iii. 10% of the         iii. 11.5% of the         iii. 11.5% of the         iii. 11         1.6         Investme         ii. 16         1.16         Investme         ii. 16         1.17         Investme         ii. 16         1.18         Statutory context         or any oth         1.10         Deposit with         1.11         Other dep         1.12         Accrued ir         etc.(Nii)	han: be balance sheet value in the case of tenure upto 1 year. he balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. d than: he balance sheet value, in the case of tenure upto 1 year. the balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. Int in Equity Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. Ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) tt in subsidiaries ent in associated companies/undertaking	9,239,860	1,385,979	7,853,881
ii. 7.5% of t         iii. 10% of t         iii. 12.5% of         iii. 15% of t         1.5         Investme         ii. 1f unliste         iii. Subscrip         provided ti         Provided ti         Pledged ir         in favor of         schedule I         1.6         Investmen         1.7         Investmen         1.7         Investmen         1.7         Investmen         1.7         Investmen         1.7         Margin de         1.10         Deposit wi         1.10         Deposit wi         1.11         Other dep         1.12         Accrued ir         etc.(Nii)         1.14         Amounts r         Amount sr <t< td=""><td>he balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. d than: le balance sheet value in the case of tenure upto 1 year. the balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. Int in Equity Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. Ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) ti n subsidiaries ent in associated companies/undertaking</td><td>9,239,860</td><td>1,385,979</td><td>7,853,881</td></t<>	he balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. d than: le balance sheet value in the case of tenure upto 1 year. the balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. Int in Equity Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. Ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) ti n subsidiaries ent in associated companies/undertaking	9,239,860	1,385,979	7,853,881
iii. 10% of t         If unlisted         i. 10% of th         ii. 10% of th         ii. 12.5% of         iii. 12.5% of         iii. 15% of th         iii. 15% of th         iii. 15% of th         iii. 15% of th         iii. 115% of th         iii. 12.5% of         iii. 15% of th         iii. 16% of th         iii. 115% of th         iii. 115% of th         iii. 1100% hai         that are in         Provided th         Pledged ir         in favor of         schedule 1         1.6         Investmen         1.7         Investmen         1.7         Investmen         1.8         Statutory c         or any oth         1.9         Margin de         1.10         Deposit wi         1.11         Other dep         1.12         Accrued in         etc(Nii)         100% in res         1.14         Amounts r         Amounts r         Anounts r	he balance sheet value, in the case of tenure of more than 3 years. d than: le balance sheet value, in the case of tenure upto 1 year. the balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. set in Equity Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) ti n subsidiaries ent in associated companies/undertaking	9,239,860	1,385,979	7,853,881
i. 10% of th         ii. 12.5% of         iii. 15% of t         iii. 16         iii. 16 unliste         ii. 16 unliste         ii. 16 unliste         1.8         Statutory c         or any oth         1.9         Margin de         1.10         Deposit wi         1.11         Other dep         1.12         Accrued ir         etc.(Nii)         1.13         Dividends         1.14         Amounts r         Amounts r         Annout p         shall not b	the balance sheet value in the case of tenure upto 1 year. the balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. ent in Equity Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. ed, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) it in subsidiaries ent in associated companies/undertaking	9,239,860	1,385,979	7,853,881
ii. 12.5% of iii. 15% of t iii. 15% of t i. 15% of t iii. 15% of t iii. 10% of t iii. 100% Hai that are in Provided t Pledged ir in favor of schedule 1 Pledged ir in favor of schedule 1 1.6 Investmen i. 16 linvestmen i. 17 Investme ii. 16 unisted 2 whichever ii. 16 unisted 1.8 Statutory of or any oth or any oth of any oth 1.10 Deposit wi 1.11 Other dep 1.12 Accrued ir etc.(Nii) 100% in res 1.13 Dividends 1.14 Amounts r Amount p shall not b	the balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. ent in Equity Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. ed, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) it in subsidiaries ent in associated companies/undertaking	9,239,860	1,385,979	7,853,881
iii. 15% of t         iii. 15% of t         1.5       Investme         i. If listed 1         respective         iii. If unliste         iii.Subscrip         provided ti         iv.100% Hai         that are in         Provided ti         infavor of         schedule I         1.6         Investme         i. If listed 2         whichever         ii. If unliste         1.8         Statutory correction or any oth         or any oth         1.10         Deposit wi         1.11         Other dep         1.13         Dividends         1.14         Amounts r         Amount p         shall not b         1.15         i. Short Terr	he balance sheet value, in the case of tenure of more than 3 years. ent in Equity Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. ed, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) it in subsidiaries ent in associated companies/undertaking	9,239,860	1,385,979	7,853,881
i. If listed 1 respective ii. If listed 1 respective iii. Subscrip provided th iv.100% Hai that are in Provided th Pledged ir in favor of schedule I 1.6 Investmen i. If listed 2 whicheven ii. If unliste 1.8 Statutory C or any oth 1.9 Margin de 1.10 Deposit wi 1.11 Other dep 1.12 Accrued in etc.(Nil) 100% in res 1.13 Dividends 1.14 Amounts r Amount p shall not b	5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) it in subsidiaries ent in associated companies/undertaking	9,239,860	1,385,979	7,853,881
respective         ii. If unliste         iii. Subscrip         provided ti         iv.100% Hai         that are in         Provided ti         Provide ti        Provide ti        Provide ti         Provide ti         Provide ti         Provide ti	securities whichever is higher. Id, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) it in subsidiaries ent in associated companies/undertaking	9,239,860	1,385,979	7,853,881
ii. If unliste iii.Subscrip provided ti iv.100% Hai that are in Provided ti Pledged ir in favor of schedule I 1.6 Investmen i. If listed 2 whicheven ii. If unliste 1.8 Statutory c or any oth 1.10 Deposit wi 1.11 Other dep 1.12 Accrued ii <u>etc.(Nii)</u> 100% in res 1.13 Dividends 1.14 Amounts r Amount p shall not b	ed, 100% of carrying value. tion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries ent in associated companies/undertaking			
provided ti           iv.100% Hai           that are in           Provided ti           Pledged ir           in favor of           schedule I           1.6           Investmen           i. If listed 2           whichever           ii. If unliste           1.8           Statutory correction           or any oth           1.10           Deposit wi           1.11           Other dep           1.13           Dividends           1.14           Amounts r           Amount p           shall not b           1.15	hat shares have not been alloted or are not included in the investments of securities broker. ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are a favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in 11 of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) it in subsidiaries ent in associated companies/undertaking			
iv.100% Hai that are in Provided ti Pledged ir in favor of schedule I 1.6 Investmen 1.7 Investme i. If listed 2 whicheve ii. If unliste 1.8 Statutory of or any oth 1.9 Margin de 1.10 Deposit wi 1.11 Other dep 1.12 Accrued ir etc.(Nii) 100% in ress 1.13 Dividends 1.14 Amounts r Amount p shall not b 1.15 i. Short Terr	ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) it in subsidiaries ent in associated companies/undertaking			
Provided ti Pledged ir in favor of schedule I 1.6 Investmen 1.7 Investmen i. If listed 2 whicheven ii. If unliste 1.8 Statutory of or any othe 1.10 Deposit wi 1.11 Other dep 1.12 Accrued ir etc.(Nii) 100% in res 1.13 Dividends 1.14 Amounts r Amount p shall not b 1.15 i. Short Terr	hat 100% haircut shall not be applied in case of investment in those securities which are n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in Il of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) it in subsidiaries ent in associated companies/undertaking			
Pledged ir         in favor of         schedule I         1.6         Investmen         1.7         Investmen         i. If listed 2         whichever         ii. If unlisted         1.8         Statutory correction         or any oth         1.9         Margin de         1.10         Deposit with         1.11         Other dep         1.12         Accrued ir         etc.(Nii)         100% in res         1.13         Dividends         1.14         Amounts r         shall not b         1.15         i. Short Terr	n favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) It in subsidiaries ent in associated companies/undertaking			
in favor of schedule I 1.6 Investmen i. Investmen i. If listed 2 whicheven ii. If unlisted 1.8 Statutory of or any oth 1.9 Margin de 1.10 Deposit wi 1.11 Other dep 1.12 Accrued ir etc.(Nii) 100% in res 1.13 Dividends 1.14 Amounts r Amount p shall not b 1.15 i. Short Terr	Banks against Short Term financing arrangements. In such cases, the haircut as provided in II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) It in subsidiaries ent in associated companies/undertaking			
1.6       Investmen         1.7       Investmen         i. If listed 2       whicheven         ii. If unlisted       ii. If unlisted         1.8       Statutory or or any oth         1.9       Margin dep         1.10       Deposit with         1.11       Other dep         1.12       Accrued in         1.13       Dividends         1.14       Amounts r Amount p         shall not b       i. Short Terr	nt in subsidiaries			
i. If listed 2 whichever ii. If listed 2 whichever ii. If unlisted or any oth 1.9 Margin de 1.10 Deposit wi 1.11 Other dep 1.12 Accrued ir etc.(Nii) 100% in res 1.13 Dividends 1.14 Amounts r Amount p shall not b 1.15 i. Short Terr				
whichever           ii. If unlister           1.8         Statutory crossing           or any oth           1.9         Margin de           1.10         Deposit with           1.11         Other dep           1.12         Accrued in           etc. (Nii)         100% in res           1.13         Dividends           1.14         Amounts r           Amount p         shall not b           1.15         i. Short Terr	0% or VaR of each securities as computed by the Securites Exchange for respective securities			
ii. If unliste ii. If unliste or any oth- 1.9 Margin dej 1.10 Deposit wi 1.11 Other dep 1.12 Accrued ir etc.(Nil) 100% in ress 1.13 Dividends 1.14 Amounts r Amount p shall not b 1.15 i. Short Ter				
or any other 1.9 Margin deg 1.10 Deposit wi 1.11 Other dep 1.12 Accrued ir etc.(Nil) 100% in res 1.13 Dividends 1.14 Amounts r Amount p shall not b 1.15 i. Short Terr	id, 100% of net value.			
1.9     Margin de       1.10     Deposit wi       1.11     Other dep       1.12     Accrued in       etc.(Nii)     100% in res       1.13     Dividends       1.14     Amounts r       Amount p     shall not b       1.15     i. Short Terr	or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	3,422,134	3,422,134	
1.11     Other dep       1.12     Accrued ir etc.(Nii) 100% in res       1.13     Dividends       1.14     Amounts r Amount p shall not b       1.15     i. Short Terr	posits with exchange and clearing house.	37,381,818	0	37,381,818
1.12     Accrued ir etc.(Nil)       100% in res       1.13     Dividends       1.14     Amounts r Amount p shall not b       1.15     i. Short Terr	ith authorized intermediary against borrowed securities under SLB.			
100% in res 1.13 Dividends 1.14 Amounts r Amount p shall not b 1.15 i. Short Terr	osits and prepayments nterest, profit or mark-up on amounts placed with financial institutions or debt securities			
1.14     Amounts r       Amount p     shall not b       1.15     i. Short Terr	pect of markup accrued on loans to directors, subsidiaries and other related parties			
Amount p shall not b 1.15 i. Short Terr	receivables. eceivable against Repo financing.			
1.15 i. Short Terr	aid as purchaser under the REPO agreement. (Securities purchased under repo arrangement e included in the investments.)			
ii Receiva	m Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	bles other than trade receivables	2,221,488	2,221,488	
	oles from clearing house or securities exchange(s) ue of claims other than those on account of entitlements against trading of securities in all			
markets in	cluding MtM gains.			
	ble on entitlements against trading of securities in all markets including MtM gains.	1,318,930	0	1,318,930
Recontai	oles from customers eceivables are against margin financing, the aggregate if (i) value of securities held in the			
blocked a	ccount after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	lue of any securities deposited as collateral after applying VaR based haircut. Thet balance sheet value or value determined through adjustments.			
ii. Incase re	eceivables are against margin trading, 5% of the net balance sheet value.			
	punt after deducting haircut receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
collateral	upon entering into contract,			
	ount after deducting haricut	9,983,818	0	9,983,818
iv. Balance		9,903,010	-	
	of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. e sheet value	270,557	270,557	270,557
	of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. e sheet value of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market			
securities h	of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. e sheet value			
	of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. e sheet value of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market ecurities purchased for customers and held in sub-accounts after applying VAR based i) cash deposited as collateral by the respective customer and (iii) the market value of held as collateral after applying VAR based haircuts.			
i. Bank Bal	of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. e sheet value of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market ecurities purchased for customers and held in sub-accounts after applying VAR based i) cash deposited as collateral by the respective customer and (iii) the market value of neld as collateral after applying VAR based haircuts. ircut in the case of amount receivable form related parties.			
ii. Bank ba iii. Cash in	of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. e sheet value of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market ecurities purchased for customers and held in sub-accounts after applying VAR based i) cash deposited as collateral by the respective customer and (iii) the market value of held as collateral after applying VAR based haircuts. incut in the case of amount receivable form related parties. d Bank balances ance-proprietory accounts	1,945,148 2,935,314	0 0	<u>1,945,148</u> 2,935,314

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-OCT-20** 

of M/s. Venus Securities (Private) Ltd.

Submission Date 13-NOV-2020 17:04:29

	Subinission Date 13-100 v - 2020 17.04.29		Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	73,007,336	11,582,027	61,695,866
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	2,935,092	0	2,935,092
2.2		2,955,092	0	2,935,092
	i. Statutory and regulatory dues			
	ii. Accruals and other payables			
	iii. Short-term borrowings Iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	vili. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements	10,737,616	0	10,737,616
	Non-Current Liabilities		-	
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	<ul> <li>i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:</li> <li>The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:</li> <li>a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period</li> <li>b. No haircut will be allowed against short term portion which is repayable within next 12 months.</li> <li>c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.</li> <li>ii. Subordinated loans which do not fulfill the conditions specified by SECP</li> </ul>			
2.5	T	10 070 700	0	10 670 709
	Total Liabilites	13,672,708	0	13,672,708
	Ranking Liabilities Relating to :			
	Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
2.2	borrowed			
3.3	Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO			
	Repo adjustment			
L	······································	1		

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-OCT-20** 

of M/s. Venus Securities (Private) Ltd.

Submission Date 13-NOV-2020 17:04:29

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	117,298	117,298	117,298
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	117,298	117,298	
3.12	Liquid Capital	59,217,330	11,464,729	47,905,860